

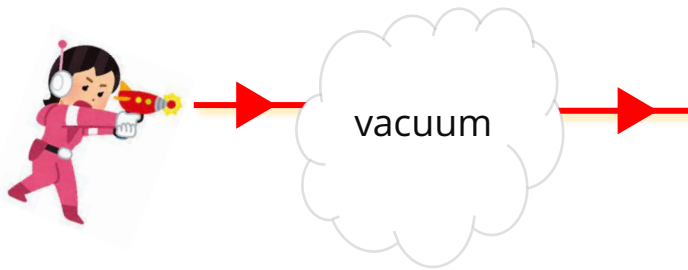
# **Vacuum HHG in strong-field QED**

**Hidetoshi Taya (Keio U.)**

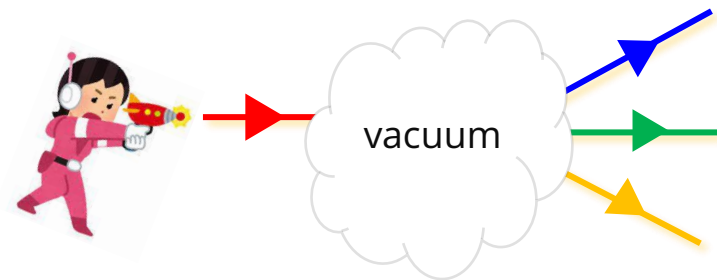
# This talk

Discuss vacuum high-harmonic generation (HHG) in strong-field QED

Naively ...



Vacuum HHG



## Take-home messages:

- Vacuum HHG surely occurs and semi-classical analysis can explain the basic features (e.g., cutoff law)
- Physics: [Interband current induced by the Schwinger effect](#) and [quantum interference of the Schwinger effect](#) are the essence

NB: [Very preliminary](#), so comments/criticisms are welcome !

NB: This work is an extension of my previous work for 2d Dirac material [[HT, Hongo, Ikeda \(2021\)](#)]

# Contents

## 1. Introduction

## 2. Theory

- Numerical simulation  $\Rightarrow$  observe basic features of HHG
- Semi-classical analysis  $\Rightarrow$  reveal the origin of HHG

## 3. Summary

# Contents

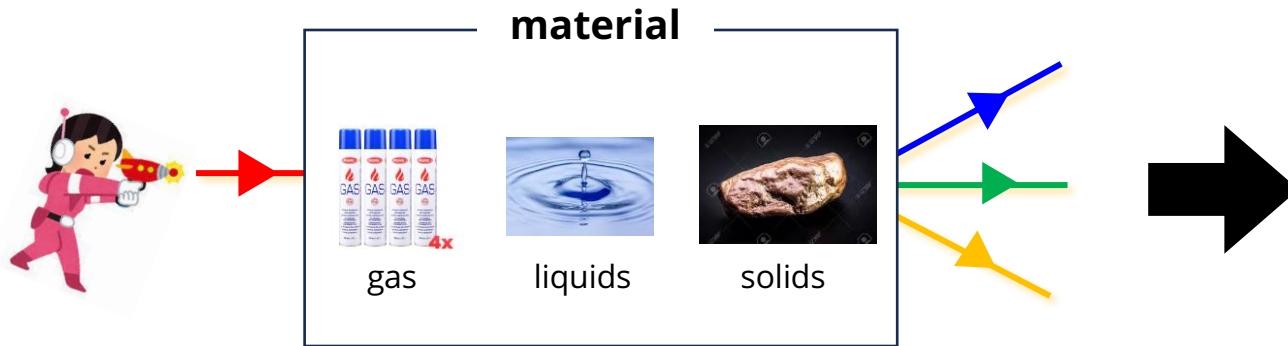
## 1. Introduction

## 2. Theory

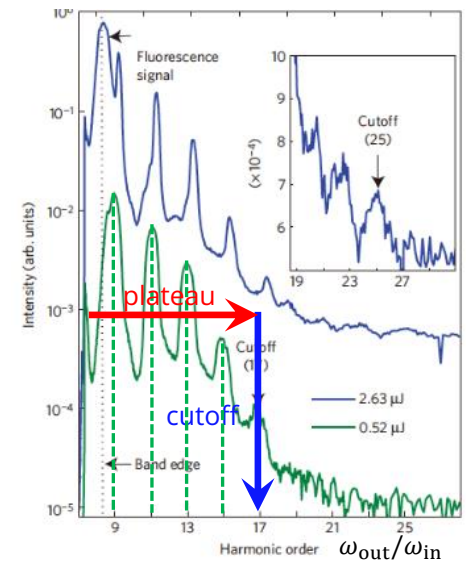
- Numerical simulation  $\Rightarrow$  observe basic features of HHG
- Semi-classical analysis  $\Rightarrow$  reveal the origin of HHG

## 3. Summary

# Introduction to HHG



Actual HHG spectrum in a solid

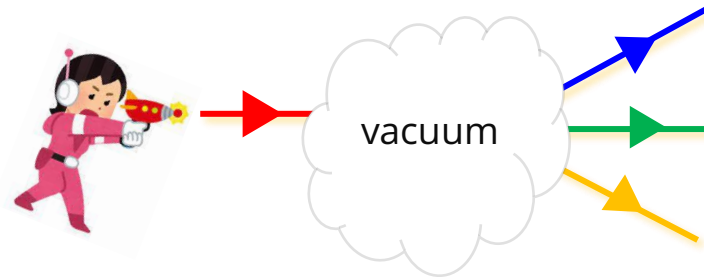


[Ghimire et al., (2011)]

## Originally developed in optics and solid-state physics

- First observation with gas (= one-band material) in 1988
  - ⇒ Many applications: laser pointer, attosecond light source, ...
  - Nobel prize in 2023
- Recent development: observation with solids (= band materials) in 2011
  - ⇒ Key features: plateau + cutoff + discretized peaks

# QED analog ?



## Very natural, if we admit the analogy b/w band-materials & QED vacuum

- Many successful analogies: Schwinger effect  $\leftrightarrow$  Landau-Zener transition  
Dynamically assisted Schwinger  $\leftrightarrow$  Franz-Keldysh effect  
Quantum interference of Schwinger  $\leftrightarrow$  Stuckelberg-phase effect ...

## Not only interesting but also useful

[T. Gaumnitz et al., (2017)]

- The fastest light source of the zS (zepto second  $\sim 1/m_e$ ) order (cf. current world record: 43 as  $\sim (100 \text{ eV})^{-1}$ )
- Application to physics near/beyond the pair creation threshold (e.g., dynamically assisted Schwinger)

## Previous studies

- This is not the first study: [Piazza, Hatsagortsyan, Keitel (2005)], [Fedotov, Narozhny (2006)], [Bohl, King, Ruhl (2015)] ...
- But, previous studies are based on constant-field (low freq.) approx. (e.g., EH Lagrangian)  
 $\Rightarrow$  **Problematic**, since time dependence can change physics drastically !

**Sales point:** Proper inclusion of the time dependence of the input driving field

# Contents

## 1. Introduction

## 2. Theory

- Numerical simulation  $\Rightarrow$  observe basic features of HHG
- Semi-classical analysis  $\Rightarrow$  reveal the origin of HHG

## 3. Summary

# Formulation

**Setup:** QED in a spatially-homogeneous AC field  $\vec{E}(t) = \vec{E}_0 \cos(\Omega t)$

$$\mathcal{L} = \hat{\psi}(i\not{\partial} - e\not{\hat{A}} - m)\hat{\psi} - \frac{1}{4}\hat{F}^{\mu\nu}\hat{F}_{\mu\nu} - \bar{J}\hat{A} \quad \text{where} \quad \hat{A} = \vec{A} + \hat{\mathcal{A}}$$

classical driving field  $\vec{A} = \langle \vec{A} \rangle$   
sourced by  $J$   
response

**Observable:** Harmonic spectrum of the response EM field



# Formulation

**Setup:** QED in a spatially-homogeneous AC field  $\vec{E}(t) = \vec{E}_0 \cos(\Omega t)$

$$\mathcal{L} = \hat{\psi}(i\partial - e\hat{A} - m)\hat{\psi} - \frac{1}{4}\hat{F}^{\mu\nu}\hat{F}_{\mu\nu} - \bar{j}\hat{A} \quad \text{where} \quad \hat{A} = \bar{A} + \hat{\mathcal{A}}$$

classical driving field  $\bar{A} = \langle \bar{A} \rangle$   
sourced by  $J$   
response

**Observable:** Harmonic spectrum of the response EM field

**Step 1:** Write down EoMs

Electron:  $(i\partial - e\bar{A} - m)\hat{\psi} = e\hat{\mathcal{A}}\hat{\psi}$       Driving field:  $\partial^2 \bar{A}^\mu = \bar{j}^\mu$       Response:  $\partial^2 \hat{\mathcal{A}}^\mu = e\hat{\psi}\gamma^\mu\hat{\psi}$

**NB:** The response can be macroscopically large  $\langle \hat{\mathcal{A}}^\mu \rangle \neq 0$  since  $\langle e\hat{\psi}\gamma^\mu\hat{\psi} \rangle \neq 0$

$\Rightarrow$  focus on  $\langle \hat{\mathcal{A}}^\mu \rangle = O(\hbar^0)$  and neglect  $\hat{a} := \hat{\mathcal{A}} - \langle \hat{\mathcal{A}} \rangle = O(\hbar)$

# Formulation

**Setup:** QED in a spatially-homogeneous AC field  $\bar{E}(t) = \bar{E}_0 \cos(\Omega t)$

$$\mathcal{L} = \hat{\psi}(i\not{\partial} - e\not{\hat{A}} - m)\hat{\psi} - \frac{1}{4}\hat{F}^{\mu\nu}\hat{F}_{\mu\nu} - \bar{J}\hat{A} \quad \text{where } \hat{A} = \bar{A} + \hat{\mathcal{A}}$$

← classical driving field  $\bar{A} = \langle \bar{A} \rangle$   
sourced by  $J$   
← response

**Observable:** Harmonic spectrum of the response EM field

**Step 1:** Write down EoMs

Electron:  $(i\not{\partial} - e\not{\bar{A}} - m)\hat{\psi} = e\not{\hat{\mathcal{A}}}\hat{\psi}$     Driving field:  $\partial^2 \bar{A}^\mu = \bar{j}^\mu$     Response:  $\partial^2 \hat{\mathcal{A}}^\mu = e\hat{\psi}\gamma^\mu\hat{\psi}$

**NB:** The response can be macroscopically large  $\langle \hat{\mathcal{A}}^\mu \rangle \neq 0$  since  $\langle e\hat{\psi}\gamma^\mu\hat{\psi} \rangle \neq 0$

⇒ focus on  $\langle \hat{\mathcal{A}}^\mu \rangle = O(\hbar^0)$  and neglect  $\hat{a} := \hat{\mathcal{A}} - \langle \hat{\mathcal{A}} \rangle = O(\hbar)$

**Step 2:** Solve the EoMs with the Green function method

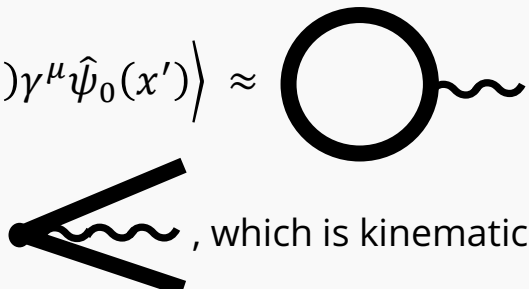
• Electron EoM ⇒  $\hat{\psi}(x) = \hat{\psi}_0(x) + \int d^4x' S(x, x') e\not{\hat{\mathcal{A}}}(x')\hat{\psi}(x') = \hat{\psi}_0 + O(e)$

where  $(i\not{\partial} - e\not{\bar{A}} - m)S(x, x') = \delta^4(x - x')$  and  $(i\not{\partial} - e\not{\bar{A}} - m)\hat{\psi}_0 = 0$

• Response EoM ⇒  $\hat{\mathcal{A}}(x) = \hat{\mathcal{A}}_0(x) + \int d^4x' G(x, x') e\hat{\psi}(x')\gamma^\mu\hat{\psi}(x')$

where  $\partial^2 G(x, x') = \delta^4(x - x')$  and  $\partial^2 \hat{\mathcal{A}}_0 = 0$

$$\Rightarrow \langle \hat{\mathcal{A}} \rangle = \int d^4x' G(x, x') \langle e\hat{\psi}_0(x')\gamma^\mu\hat{\psi}_0(x') \rangle \approx \text{Diagram}$$

**NB:** The quantum part  $\hat{a} = \hat{\mathcal{A}} - \langle \hat{\mathcal{A}} \rangle$  corresponds to , which is kinematically disfavored

# Formulation

**Setup:** QED in a spatially-homogeneous AC field  $\bar{E}(t) = \bar{E}_0 \cos(\Omega t)$

$$\mathcal{L} = \hat{\psi}(i\not{\partial} - e\not{A} - m)\hat{\psi} - \frac{1}{4}\hat{F}^{\mu\nu}\hat{F}_{\mu\nu} - \bar{J}\hat{A} \quad \text{where} \quad \hat{A} = \bar{A} + \hat{\mathcal{A}}$$

classical driving field  $\bar{A} = \langle \bar{A} \rangle$   
sourced by  $J$   
response

**Observable:** Harmonic spectrum of the response EM field

**Step 3:** Take the Fourier transform

$$\langle \hat{\mathcal{A}}(x) \rangle = \int d^4x' G(x, x') \langle e\hat{\psi}_0(x')\gamma^\mu\hat{\psi}_0(x') \rangle$$

F.T.

Harmonic spectrum:  $\tilde{\mathcal{E}}(\omega) := -i\omega \langle \widetilde{\hat{\mathcal{A}}}(\omega) \rangle = \frac{-i}{\omega} \text{F. T.} \langle e\hat{\psi}_0\gamma\hat{\psi}_0 \rangle$

**$\therefore$  Solve the Dirac eq. & do the Fourier integral  $\Rightarrow$  Get harmonic spectrum**

# Numerical observation

- ✓ Use numerics to get an exact harmonic spectrum of vacuum HHG

# Numerical observation

✓ Use numerics to get an exact harmonic spectrum of vacuum HHG

Harmonic spectrum for  $\vec{E} = E_0 \cos \Omega t$

Weak field (fixed  $\Omega/m = 0.2$ )

Strong field

$$eE_0/m^2 = 0.01$$

$$(\gamma := \frac{1}{a_0} = \frac{m\Omega}{eE_0} = 10)$$

$$eE_0/m^2 = 0.05$$

$$(\gamma = 2)$$

$$eE_0/m^2 = 0.15$$

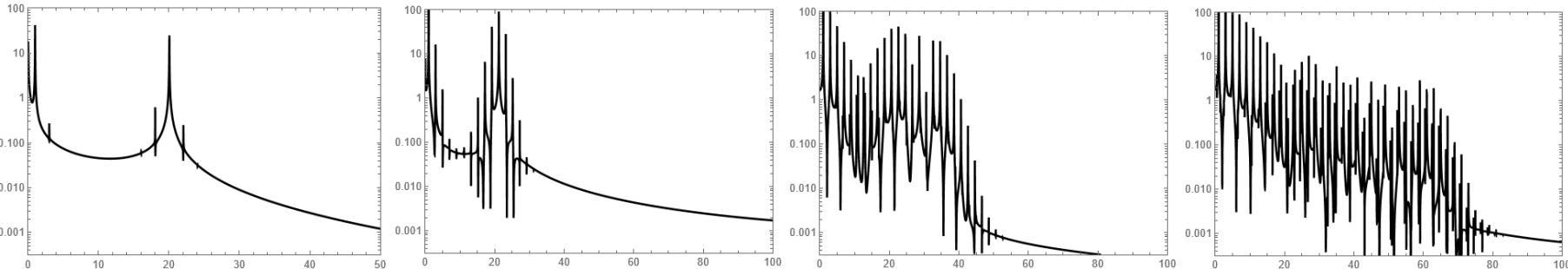
$$(\gamma = 0.666 \dots)$$

$$eE_0/m^2 = 0.30$$

$$(\gamma = 0.333 \dots)$$

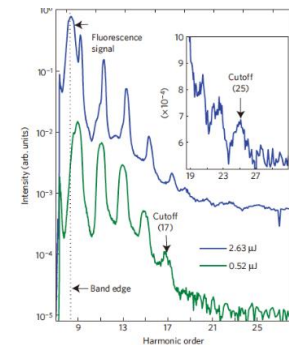
Harmonic intensity  $|\tilde{\mathcal{E}}(\omega)|/\Omega$

Harmonic order  $\omega/\Omega$



## Some features of vacuum HHG

- (1) Plateau + cutoff + discretized peaks, similarly to solid-state HHG
- (2) Cutoff increases with  $E_0$  ↗
- (3) Harmonic intensities do not necessarily increase with  $E_0$   
( $\Rightarrow$  actually, it oscillates with  $E_0$ )



# Numerical observation

✓ Use numerics to get an exact harmonic spectrum of vacuum HHG

Harmonic spectrum for  $\vec{E} = E_0 \cos \Omega t$

Weak field (fixed  $\Omega/m = 0.2$ )

Strong field

$$eE_0/m^2 = 0.01$$

$$(\gamma := \frac{1}{a_0} = \frac{m\Omega}{eE_0} = 10)$$

$$eE_0/m^2 = 0.05$$

$$(\gamma = 2)$$

$$eE_0/m^2 = 0.15$$

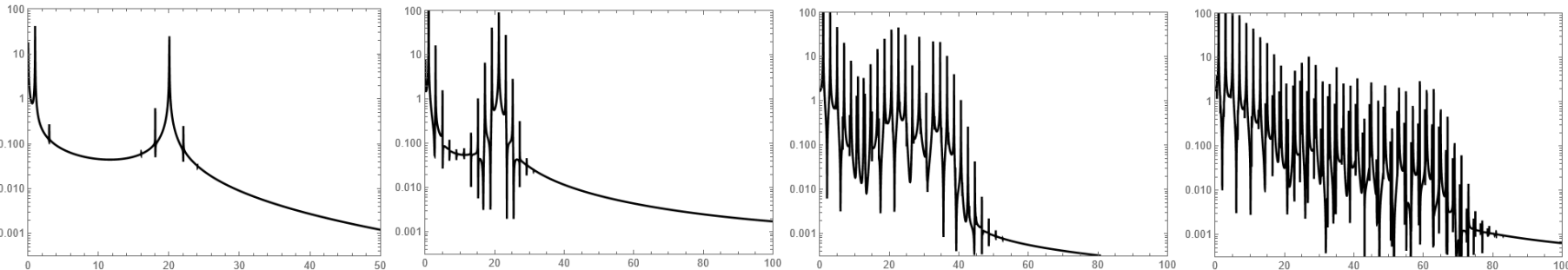
$$(\gamma = 0.666 \dots)$$

$$eE_0/m^2 = 0.30$$

$$(\gamma = 0.333 \dots)$$

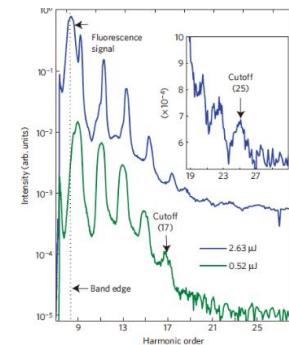
Harmonic intensity  $|\tilde{\mathcal{E}}(\omega)|/\Omega$

Harmonic order  $\omega/\Omega$



## Some features of vacuum HHG

- (1) Plateau + cutoff + discretized peaks, similarly to solid-state HHG
- (2) Cutoff increases with  $E_0$  ↗
- (3) Harmonic intensities do not necessarily increase with  $E_0$   
( $\Rightarrow$  actually, it oscillates with  $E_0$ )



**Origins of those features ?  $\Rightarrow$  Give you an answer with semi-classical analysis**

# Semi-classical analysis (1/3)

**Two contributions to the current**  $J = \langle e\hat{\psi}_0\gamma\hat{\psi}_0 \rangle \propto \tilde{\mathcal{E}}$

- Strong E field  $\Rightarrow$  Pair production

$\Rightarrow$  Total mode function  $\psi_0(t = \text{in}) = \psi_- \rightarrow \# \times \psi_- + \# \times \psi_+$



- VEV has two contr.:  $J = e\bar{\psi}_-\gamma\psi_- \rightarrow \# \times e\bar{\psi}_-\gamma\psi_- \rightarrow$  Vacuum current (usually =0)  
 $+ \# \times e\bar{\psi}_+\gamma\psi_+ \rightarrow$  Intraband current  
 $+ \# \times \text{Re}[e\bar{\psi}_+\gamma\psi_-] \rightarrow$  Interband current

- Different time dynamical phases:  $\psi_{\pm} \propto e^{\mp imt}$

$\Rightarrow$  Interband current has the fastest time dependence  $\propto e^{+2imt}$

$\Rightarrow$  the source of high harmonics !

**NB:** same idea in solid-state HHG [Vampa et al., (2014)]

# Semi-classical analysis (2/3)

More quantitative argument: Intermediate particle picture + Semi-classical analysis



# Semi-classical analysis (2/3)

**More quantitative argument: Intermediate particle picture + Semi-classical analysis**

**Step 1:** Introduce an intermediate particle picture

- Identify  $\psi_{\pm}$  as the instantaneous solutions to the Dirac eq.

$$\psi_0(t) = \alpha(t) \times \psi_-(t) + \beta(t) \times \psi_+(t) \quad \text{where} \quad H_{\text{Dirac}} \psi_{\pm} = \pm \sqrt{m^2 + \left( \mathbf{p} + \frac{e\mathbf{E}_0}{\Omega} \sin \Omega t \right)^2} \psi_{\pm} =: E_{\pm} \psi_{\pm} \quad (\Rightarrow \psi_{\pm} \propto e^{-i \int dt E_{\pm}})$$

# Semi-classical analysis (2/3)

## More quantitative argument: Intermediate particle picture + Semi-classical analysis

### Step 1: Introduce an intermediate particle picture

- Identify  $\psi_{\pm}$  as the instantaneous solutions to the Dirac eq.

$$\psi_0(t) = \alpha(t) \times \psi_{-}(t) + \beta(t) \times \psi_{+}(t) \quad \text{where} \quad H_{\text{Dirac}} \psi_{\pm} = \pm \sqrt{m^2 + \left(\mathbf{p} + \frac{e\mathbf{E}_0}{\Omega} \sin \Omega t\right)^2} \psi_{\pm} =: E_{\pm} \psi_{\pm} \quad (\Rightarrow \psi_{\pm} \propto e^{-i \int dt E_{\pm}})$$

### Step 2: Solve the evolution eqs. for the Bogoliubov coefficients $\alpha$ & $\beta$

- The evol. eqs. for  $\psi_0$  and  $\psi_{\pm}$  are known  $\Rightarrow$  can write down evol. eq. for  $\alpha$  &  $\beta$
- Complicated  $\Rightarrow$  Not exactly solvable but analytically with some approx.  
 $\Rightarrow$  semi-classical method [Dumlu, Dunne (2010)] [HI et al. (2020)]

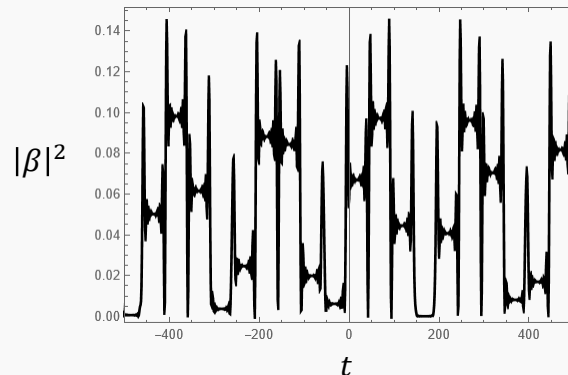
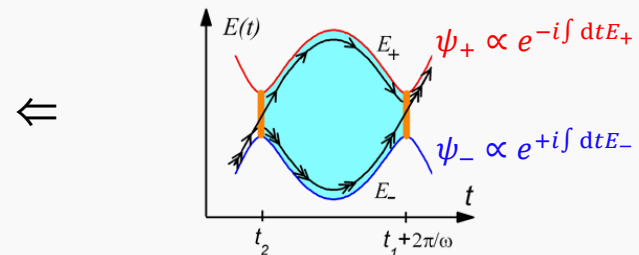


Figure stolen from [Shevchenko, Ashhab, Nori (2010)]



(1) Periodic jumps w/ freq.  $\Omega$   $\Leftarrow$  Pair production occurs periodically at around the minimum gap

(2)  $\beta$  does not increase monotonically but oscillates

$\Leftarrow$  Quantum interference among pair productions (Stueckelberg-phase effect)

$\Rightarrow$  max  $\beta$  when most constructive  $\Leftrightarrow 2\pi\mathbb{Z} \ni E_{+} - E_{-} \propto e\bar{E}_0/\Omega \Rightarrow$  **determine harmonic intensity**

# Semi-classical analysis (3/3)

More quantitative argument: Intermediate particle picture + Semi-classical analysis

**Step 3:** Calculate intra- and inter-band currents

$$\mathcal{E}(t) \propto J(t) = J_{\text{intra}}(t) + J_{\text{inter}}(t) \begin{array}{l} \xrightarrow{\text{blue}} \sim \alpha(t)\beta(t)e\bar{\psi}_+(t)\gamma\psi_-(t) \sim \beta(t)e^{+2if \int dt \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2}} \\ \xrightarrow{\text{red}} \sim |\beta(t)|^2 e\bar{\psi}_+(t)\gamma\psi_+(t) \sim |\beta(t)|^2 \end{array}$$

$$\Rightarrow \tilde{\mathcal{E}}(\omega) \propto \tilde{J}(\omega) = \tilde{J}_{\text{intra}}(\omega) + J_{\text{inter}}(\omega)$$

$$\sim \int dt e^{-i\omega t} |\beta(t)|^2 + \int dt e^{-i\omega t} \beta(t) e^{+2if \int dt \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2}}$$

$$\sim |\beta|^2 \delta(\omega - \Omega) + \underbrace{\beta \int dt e^{-i\omega t} e^{+2if \int dt \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2}}_{\text{inter-band current}}$$

can be non-negligible only if  $2 \min \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2} < \omega < 2 \max \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2}$

$\Rightarrow 2m < \omega < 2eE_0/\Omega \Rightarrow$  **determine the plateau and the cutoff**

# Semi-classical lessons v.s. numerics

## Lessons from semi-classical analysis:

- Harmonic intensity oscillates with  $\bar{E}_0$  due to [quantum interference of the pair production](#)
- High harmonics originate from [the interband current](#), as it is very fast  $J_{\text{inter}} \propto e^{+2i \int dt \sqrt{m^2 + \left(\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t\right)^2}}$
- Cutoff law  $\min(E_+ - E_-) < \omega < \max(E_+ - E_-) \Rightarrow 2m < \omega < 2eE_0/\Omega$

**NB:** Time dependence of the driving field is crucial; otherwise, no interference and no plateau

# Semi-classical lessons v.s. numerics

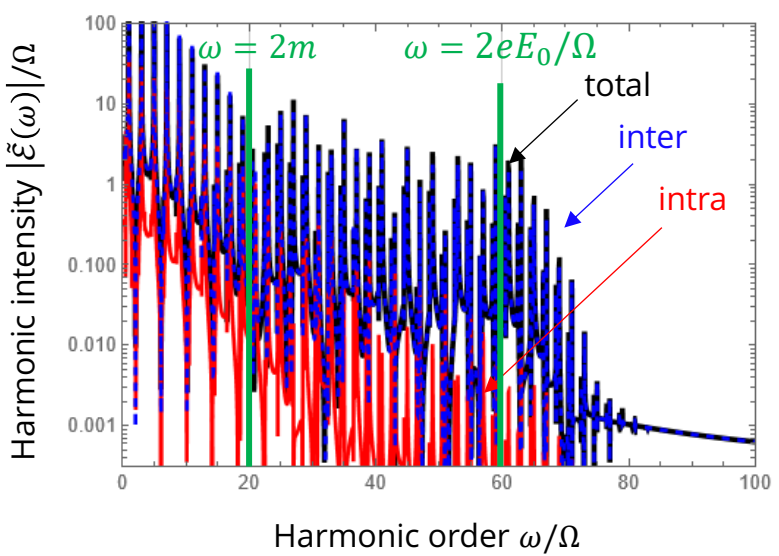
## Lessons from semi-classical analysis:

- Harmonic intensity oscillates with  $\bar{E}_0$  due to quantum interference of the pair production
- High harmonics originate from the interband current, as it is very fast  $J_{\text{inter}} \propto e^{+2i \int dt \sqrt{m^2 + (\mathbf{p} + \frac{e\bar{E}_0}{\Omega} \sin \Omega t)^2}}$
- Cutoff law  $\min(E_+ - E_-) < \omega < \max(E_+ - E_-) \Rightarrow 2m < \omega < 2eE_0/\Omega$

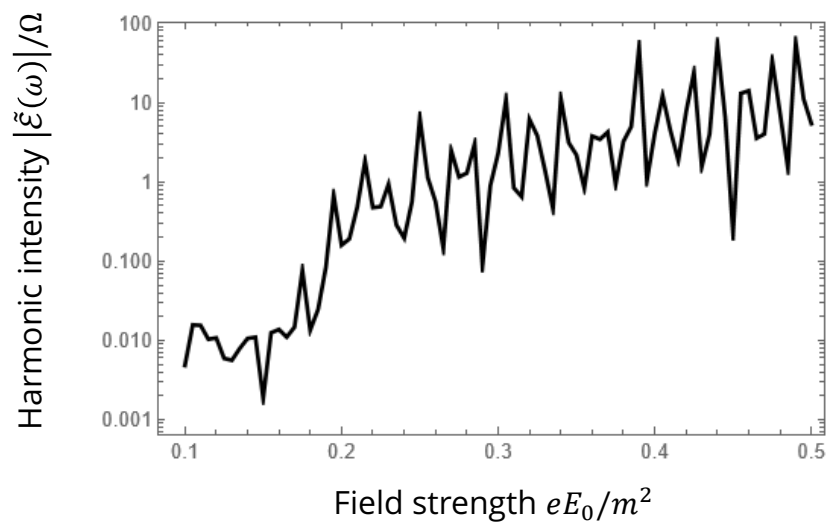
**NB:** Time dependence of the driving field is crucial; otherwise, no interference and no plateau

All of those lessons are consistent with numerics !

Harmonic spectrum for  $\frac{eE_0}{m^2} = 0.3, \frac{\Omega}{m} = 0.1$



Harmonic intensity at  $\omega/\Omega = 45$  (with  $\frac{\Omega}{m} = 0.1$ )



# Contents

## 1. Introduction

## 2. Theory

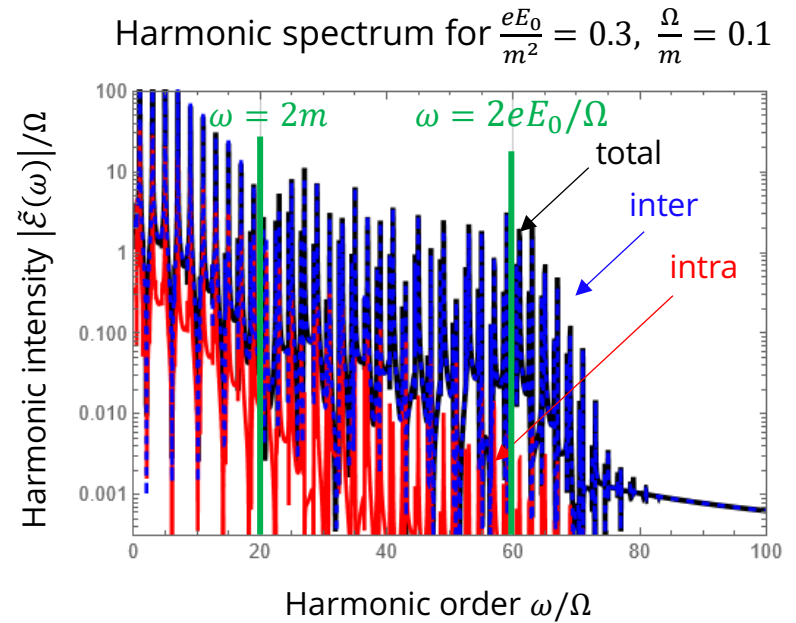
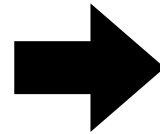
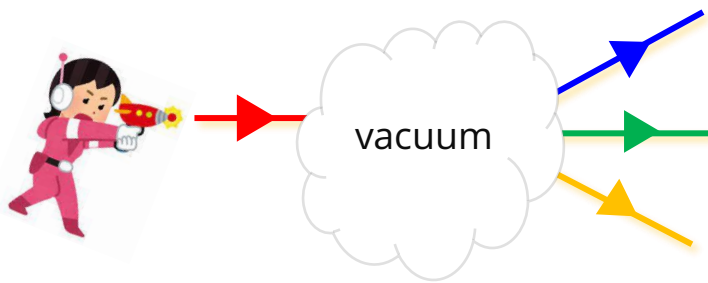
- Numerical simulation  $\Rightarrow$  observe basic features of HHG
- Semi-classical analysis  $\Rightarrow$  reveal the origin of HHG

## **3. Summary**

# Summary

Discuss vacuum high-harmonic generation (HHG) in strong-field QED

Vacuum HHG



## Take-home messages:

- Vacuum HHG surely occurs and semi-classical analysis can explain the basic features (e.g., cutoff law)
- Physics: **Interband current induced by the Schwinger effect** and **quantum interference of the Schwinger effect** are the essence

NB: **Very preliminary**, so comments/criticisms are welcome !

NB: This work is an extension of my previous work for 2d Dirac material [[HT, Hongo, Ikeda \(2021\)](#)]